# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICANTS: | Sohocki, M., et.al. | § | ART UNIT NO.:1645 |
| SERIAL NO.: | 09/765,061 | § | EXAMINER:UNKOWN |
| FILED: | 01/17/01 | § | DOCKET NO.:25630/16UTL |
| | | § | |
| TITLE: | Mutations in a Novel | § | |
| Photoreceptor-Pineal Gene on 17p | | § | |
| Cause Leber Congenital Amaurosi (LCA4) | | § | |

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|---|---|---|
| **EV 123 140 896 US** | **CERTIFICATE OF MAIL BY EXPRESS MAIL** | **December 12, 2002** |
| Express Mail Number | | Date of Deposit |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the: | | |
| | Assistant Commissioner of Patent | |
| | BOX SEQUENCE | **December 12, 2002** |
| Robert W. Strozier | Washington, D.C. 20231 | Date of Signature |

## STATEMENT REGARDING SUBSTITUTE PAPER COPY OF SEQUENCE LISTING AND CRF COPY OF SEQUENCE LISTING

Dear Sir/Madam:

In response to a 20 November 2002 Notice to Comply with Sequence Rules, Applicant used the PatentIn 3.1 software for the United States Patent and Trademark Office to generate a hard copy and an identical electronic copy of the sequences listed on Page 49, Table 1 of the application as filed. The sequence listing was also checked using Checker 3.0, with no errors reported, except that the sequences are number from 79-88 to conform with sequences 1-78 previously submitted in the case.

Applicant hereby asserts that the hard copy and electronic copy are identical.

Respectfully submitted,

Date: **December 12, 2002**

Robert W. Strozier, Reg. No. 34,024
Attorney for Applicants

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Application No.: 09/635,525

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

[X] 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.

[ ] 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).

[ ] 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).

[ ] 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."

[ ] 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).

[ ] 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).

[X] 7. Other: Specification does not comply with sequence rules (e..g., page 49, Table 1). Please re-check entire specification.

## Applicant Must Provide:

[X] An initial or **substitute** computer readable form (CRF) copy of the "Sequence Listing".

[X] An initial or **substitute** paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.

[X] A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216
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